


Section #

O I P E J C 6 A
JAN 23 2001
PATENT & TRADEMARK OFFICE

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Mathew Otts		
Signature		Date	January 19, 2001
RESPONSE TO MISSING PARTS Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231		Customer Number	24353
		Attorney Docket	UCSF-085CIP
		First Named Inventor	Nadel, et al.
		Application Number	09/616,223
		Filing Date	July 14, 2000
		Group Art Unit	N/A
		Examiner Name	N/A
		Title: "Preventing Airway Mucus Production by Administration of EGF-R Antagonists"	

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Sir:

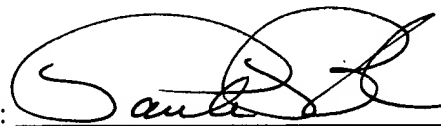
In response to the Notice to File Missing Parts mailed January 2, 2001 (copy enclosed), Applicants submit herewith a copy of the Communication mailed August 29, 2000 forwarding the following documents:

1. a Declaration signed by the inventors (in counterparts);
2. a Power of Attorney by Assignee; and
3. a Fee Transmittal Sheet.
4. a Return Postcard

In the Communication mailed August 29, 2000, the Commissioner was authorized to charge Deposit Account No. 50-0815 in the amount of \$928.00 (surcharge, \$130.00; statutory basic filing fee, \$690.00; additional claim fees, \$108.00) to cover the fees required and any other fees under 37 C.F.R. §§ 1.16 and 1.17 which were required at that time. Accordingly, no fee is believed due at this time. However, should the Patent Office determine that fees are due, the Commissioner is hereby authorized to charge the necessary fees to Deposit Account No. 50-0815, order number UCSF-085CIP.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: Jan. 19, 2001

By: 
Paula A. Borden
Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/616,223	07/14/2000	Jay A. Nadel	UCSF-085CIP

Paula A. Borden
BOZICEVIC, FIELD & FRANCIS LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

FORMALITIES LETTER



OC000000005648120

Date Mailed: 01/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/26/2001 ETULU1 00000094 500815 09616223

01 FC:105 130.00 CH
02 FC:103 108.00 CH

Adjustment date: 04/06/2001 NHASSANI
01/26/2001 ETULU1 00000094 500815 09616223
01 FC:105 130.00 CR
02 FC:103 108.00 CR

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

COPY

Attorney Docket Number USF-085CIP

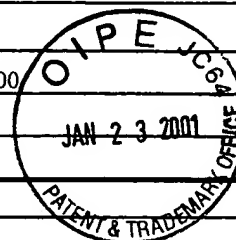
First Named Inventor Kessel, et al.

Application Number 09/616,223

Filing Date July 14, 2000

Group Art Unit N/A

Examiner Name N/A



METHOD OF PAYMENT

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$690
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$690.00

2. CLAIMS

<u>No. of claims as filed or after amendment</u>			<u>Most claims previously paid</u>		<u>Extra claims</u>		<u>Fee from below</u>		<u>Fee Due</u>
Total claims	26	-	20	=	06	x	18	=	\$108
Ind. claims	03	-	03	=	00	x		=	
Multiple Dependent claims						x		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2) \$108.00

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	130
139	130	139	130	Non-English specification	147
115	110	215	55	Ext. for reply within first month	116
117	870	217	435	Ext. for reply within third month	118
128	1,850	228	925	Ext. for reply within fifth month	119
120	300	220	150	Filing brief in support of appeal	121
140	110	240	55	Petition to revive - unavoidable	141
142	1,210	242	605	Utility issue fee (or reissue)	122
123	50	123	50	Petitions related to prov. appl.	126
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	149
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113
143	430	243	215	Design issue fee	144
581	40	581	40	Recording patent assignment	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130.00

TOTAL AMOUNT TO BE CHARGED (\$) 928.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Carol L. Francis, BOZICEVIC, FIELD & FRANCIS LLP

Reg. Number 36,513

Signature

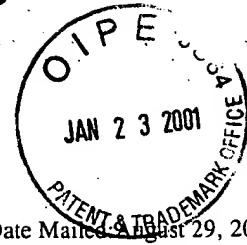
Carol L. Francis

Date

Aug 29, 2001

Deposit Account 50-0815

COPY



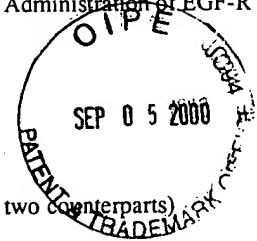
File No. UCSF-085CIP
Application No. 09/616,223
Filed: July 14, 2000

Date Mailed August 29, 2000
Atty/Sec: CLF/mo
Inventor(s): Nadel, et al.

Title: "Preventing Airway Mucus Production by Administration of EGF-R Antagonists"

Enclosure(s):

- Transmittal
- Communication
- Declaration for Patent Application, signed (in two counterparts)
- Power of Attorney by Assignee
- Fee Transmittal



Acknowledge Receipt of enclosures
By imprinting PTO Date Stamp and returning to
addressee